

# On the Completeness of Interpolation Procedures

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# Outline

- 1 Motivation
- 2 Incompleteness results
- 3 Completeness of Maehara algorithm for  $LK^{at}$
- 4 Completeness up to pruning and subsumption

# Motivation

**Aim:** Gauging the expressive power of interpolation algorithms.

## Question

Which interpolants can be obtained from an interpolation algorithm?

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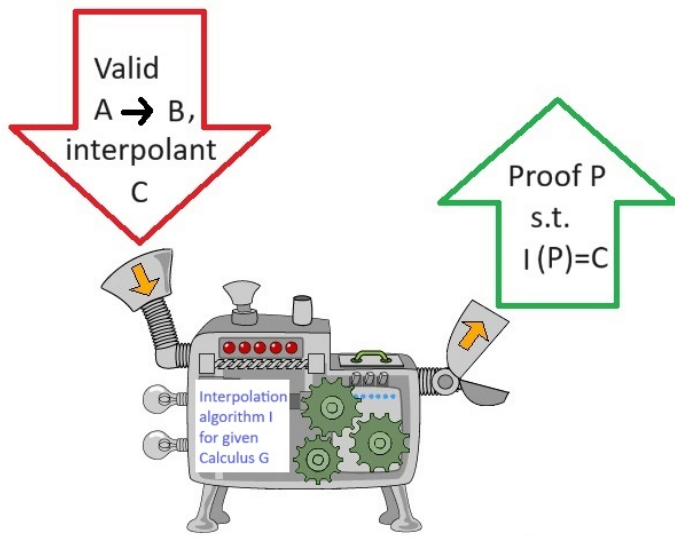
Which interpolants can be obtained from an interpolation algorithm?

Motivated by this question, we initiate the study of the *completeness properties* of interpolation algorithms.

## Definition

Fix a calculus and an interpolation algorithm  $\mathcal{I}$ . We say  $\mathcal{I}$  is *complete* if, for every semantically possible interpolant  $C$  of an implication  $A \rightarrow B$ , there is a proof  $P$  of  $A \rightarrow B$  such that  $C$  is logically equivalent to  $\mathcal{I}(P)$ .

# Completeness of interpolation algorithms



The practical relevance of a completeness result is that it provides a guarantee that, at least in principle, the algorithm allows us to find the “good” interpolants, whatever that may mean in the concrete application under consideration.

**Results:** We establish incompleteness and different kinds of completeness results for several standard algorithms for resolution and the sequent calculus for propositional, modal, and first-order logic.

# Getting ready

- Propositional language  $\mathcal{L}_p = \{\perp, \wedge, \vee, \neg\}$ . Define  $A \rightarrow B := \neg A \vee B$  and  $\top := \perp \rightarrow \perp$ .
- A literal  $\ell$  is either an atom or a negation of an atom.
- A *clause*  $C$  is a finite disjunction of literals  $C = \ell_1 \vee \dots \vee \ell_n$ , also written as  $C = \{\ell_1, \dots, \ell_n\}$ .
- By a *clause set* we mean a set  $\mathcal{C} = \{C_1, \dots, C_n\}$  of clauses  $C_i = \{\ell_{i1}, \dots, \ell_{ik_i}\}$  and the *formula interpretation* of  $\mathcal{C}$  is  $\bigwedge_{i=1}^n \bigvee_{j=1}^{k_i} \ell_{ij}$ .
- A formula is in *conjunctive normal form* (CNF) if it is a conjunction of disjunctions of literals.
- For a formula  $A$ , the set of its variables is denoted by  $V(A)$ .

## Definition

A logic  $L$  has the *Craig Interpolation Property* (CIP) if for any formulas  $A$  and  $B$  if  $A \rightarrow B \in L$  then there exists a formula  $C$  such that  $V(C) \subseteq V(A) \cap V(B)$  and  $A \rightarrow C \in L$  and  $C \rightarrow B \in L$ .

# Resolution $R$

Propositional *resolution*,  $R$ , is one of the weakest proof systems.

Resolution operates on clauses.

A resolution proof, also called a *resolution refutation*, shows the unsatisfiability of a set of initial clauses by starting with these clauses and deriving new clauses by the *resolution rule*

$$\frac{C \cup \{p\} \quad D \cup \{\neg p\}}{C \cup D}$$

until the empty clause  $\perp$  is derived, where  $C$  and  $D$  are clauses. We can interpret resolution as a refutation system: instead of proving a formula  $A$  is true we prove that  $\neg A$  is unsatisfiable.

Resolution with weakening: Add the *weakening rule* to the  $R$ :

$$\frac{C}{C \cup D}$$

for arbitrary clauses  $C$  and  $D$ .



# Interpolation algorithm for $R$

**Given:**  $P$ , a resolution proof of  $\perp$  from the clauses  $A_i(\bar{p}, \bar{q})$  and  $B_j(\bar{p}, \bar{r})$ , where  $i \in I$ ,  $j \in J$ , and  $\bar{p}, \bar{q}, \bar{r}$  are disjoint sets of atoms.

Define a ternary connective  $sel$  as

$$sel(A, x, y) = (\neg A \rightarrow x) \wedge (A \rightarrow y) = (A \vee x) \wedge (\neg A \vee y).$$

## Example

$$sel(\perp, x, y) = x, \quad sel(\top, x, y) = y, \quad sel(A, \perp, \top) = A, \quad \text{and} \\ sel(A, \top, \perp) = \neg A.$$

**Interpolation algorithm:** Assign  $\perp$  to clauses  $A_i$  for each  $i \in I$  and assign  $\top$  to clauses  $B_j$  for  $j \in J$ . Then:

# Interpolation algorithm for $R$

For the resolution rule is of the following form for  $p_k \in \bar{p}$  define

$$\frac{\Gamma, p_k \quad \Delta, \neg p_k}{\Gamma, \Delta} \stackrel{\text{int}}{\rightsquigarrow} \frac{x \quad y}{\text{sel}(p_k, x, y)}$$

or when  $q_k \in \bar{q}$

$$\frac{\Gamma, q_k \quad \Delta, \neg q_k}{\Gamma, \Delta} \stackrel{\text{int}}{\rightsquigarrow} \frac{x \quad y}{x \vee y}$$

or when  $r_k \in \bar{r}$

$$\frac{\Gamma, r_k \quad \Delta, \neg r_k}{\Gamma, \Delta} \stackrel{\text{int}}{\rightsquigarrow} \frac{x \quad y}{x \wedge y}$$

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## Theorem (Krajíček, Pudlák)

Let  $\pi$  be a resolution refutation of the set of clauses  $\{A_i(\bar{p}, \bar{q}) \mid i \in I\}$  and  $\{B_j(\bar{p}, \bar{q}) \mid j \in J\}$ . Then, the interpolation algorithm outputs an interpolant for the valid formula  $\bigwedge_{i \in I} A_i(\bar{p}, \bar{q}) \rightarrow \bigvee_{j \in J} \neg B_j(\bar{p}, \bar{q})$ .

# Example

## Example

Consider the unsatisfiable sets of clauses:

$$A_1 = \{p, \neg q\}, A_2 = \{q\}, B_1 = \{\neg p, r\}, B_2 = \{\neg r\}$$

$$\frac{\frac{p, \neg q}{p} \quad q}{r} \quad \frac{\neg p, r}{\neg r}}{\emptyset} \quad \overset{\text{int}}{\rightsquigarrow} \quad \frac{\frac{\perp \quad \perp}{\perp \vee \perp = \perp} \quad \top}{\text{sel}(p, \perp, \top) = p} \quad \top}{p \wedge \top = p}$$

The algorithm outputs  $p$ . The unsatisfiable formula that we started with was  $F = A_1 \wedge A_2 \wedge B_1 \wedge B_2 = (p \vee \neg q) \wedge q \wedge (\neg p \vee r) \wedge \neg r$ . Thus,  $\neg F = (p \wedge q) \rightarrow (p \vee r)$ , which is  $(A_1 \wedge A_2) \rightarrow (\neg B_1 \vee \neg B_2)$  is valid and  $p$  is its interpolant.

# Sequent calculus **LK**

$\Gamma, \Delta$ : multisets of formulas. Interpretation of sequent  $\Gamma \Rightarrow \Delta$ :  $\bigwedge \Gamma \rightarrow \bigvee \Delta$ .

$$\begin{array}{c} p \Rightarrow p \quad (Ax) \\ \frac{\Gamma \Rightarrow \Delta}{A, \Gamma \Rightarrow \Delta} \quad (Lw) \\ \frac{A, A, \Gamma \Rightarrow \Delta}{A, \Gamma \Rightarrow \Delta} \quad (Lc) \\ \frac{A, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta} \quad (L\wedge_1) \\ \frac{\Gamma \Rightarrow \Delta, A \quad \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \wedge B} \quad (R\wedge) \\ \frac{\Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \vee B} \quad (R\vee_2) \\ \frac{\Gamma \Rightarrow \Delta, A}{\neg A, \Gamma \Rightarrow \Delta} \quad (L\neg) \\ \frac{\Gamma \Rightarrow \Delta, A \quad A, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} \quad (cut) \end{array}$$
$$\begin{array}{c} \perp \Rightarrow (\perp) \\ \frac{\Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, A} \quad (Rw) \\ \frac{\Gamma \Rightarrow \Delta, A, A}{\Gamma \Rightarrow \Delta, A} \quad (Rc) \\ \frac{B, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta} \quad (L\wedge_2) \\ \frac{\Gamma \Rightarrow \Delta, A}{\Gamma \Rightarrow \Delta, A \vee B} \quad (R\vee_1) \\ \frac{A, \Gamma \Rightarrow \Delta \quad B, \Gamma \Rightarrow \Delta}{A \vee B, \Gamma \Rightarrow \Delta} \quad (L\vee) \\ \frac{\Gamma, A \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg A} \quad (R\neg) \end{array}$$

The cut rule is called **atomic** when the cut formula is an atom or  $\perp$  or  $\top$ .

Denote **LK** with only atomic cuts by **LK<sup>at</sup>**, denote **LK** with cuts only on literals by **LK<sup>lit</sup>**, and denote cut-free **LK** by **LK<sup>-</sup>**.

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*Split sequent:*  $\Gamma_1; \Gamma_2 \Rightarrow \Delta_1; \Delta_2$  such that  $\Gamma_1, \Gamma_2 \Rightarrow \Delta_1, \Delta_2$  is a sequent. Let  $\pi$  be a proof of  $\Gamma_1; \Gamma_2 \Rightarrow \Delta_1; \Delta_2$  in **LK<sup>at</sup>**. Define the Maehara *interpolant*  $\mathcal{M}(\pi) = C$ , recursively s.t.

$$\mathbf{LK}^{\text{at}} \vdash \Gamma_1 \Rightarrow \Delta_1, C \quad \text{and} \quad \mathbf{LK}^{\text{at}} \vdash C, \Gamma_2 \Rightarrow \Delta_2$$

and  $V(C) \subseteq V(\Gamma_1 \cup \Delta_1) \cap V(\Gamma_2 \cup \Delta_2)$ . Denote  $\Gamma_1; \Gamma_2 \xrightarrow{C} \Delta_1; \Delta_2$ .

# Maehara interpolation algorithm $\mathcal{M}$

- If  $\pi$  is an **axiom**:  
$$p; \xRightarrow{\perp} p; \quad ; p \xRightarrow{\top}; p \quad \perp; \xRightarrow{\perp};$$
$$p; \xRightarrow{p}; p \quad ; p \xRightarrow{\neg p}; p; \quad ; \perp \xRightarrow{\top};$$
- If the last rule applied in  $\pi$  is one of the **one-premise rules**, then the interpolant of the premise works as the interpolant for the conclusion.
- If the last rule in  $\pi$  is  $(R\wedge)$ , then:

$$\frac{\Gamma_1; \Gamma_2 \xRightarrow{C} \Delta_1, A; \Delta_2 \quad \Gamma_1; \Gamma_2 \xRightarrow{D} \Delta_1, B; \Delta_2}{\Gamma_1; \Gamma_2 \xRightarrow{C \vee D} \Delta_1, A \wedge B; \Delta_2}$$

or

$$\frac{\Gamma_1; \Gamma_2 \xRightarrow{C} \Delta_1; A, \Delta_2 \quad \Gamma_1; \Gamma_2 \xRightarrow{D} \Delta_1; B, \Delta_2}{\Gamma_1; \Gamma_2 \xRightarrow{C \wedge D} \Delta_1; A \wedge B, \Delta_2}$$



# Maehara interpolation algorithm $\mathcal{M}$ , cont.

- If the last rule in  $\pi$  is  $(L\vee)$ , then:

$$\frac{\Gamma_1, A; \Gamma_2 \xRightarrow{C} \Delta_1; \Delta_2 \quad \Gamma_1, B; \Gamma_2 \xRightarrow{D} \Delta_1; \Delta_2}{\Gamma_1, A \vee B; \Gamma_2 \xRightarrow{C \vee D} \Delta_1; \Delta_2}$$

or

$$\frac{\Gamma_1; A, \Gamma_2 \xRightarrow{C} \Delta_1; \Delta_2 \quad \Gamma_1; B, \Gamma_2 \xRightarrow{D} \Delta_1; \Delta_2}{\Gamma_1; A \vee B, \Gamma_2 \xRightarrow{C \wedge D} \Delta_1; \Delta_2}$$

- Let the last rule in  $\pi$  be an instance of a **cut** rule and  $A$  the cut formula. Then,  $V(A) \subseteq V(\Gamma_1 \cup \Delta_1)$  or  $V(A) \subseteq V(\Gamma_2 \cup \Delta_2)$ . In former case, define

$$\frac{\Gamma_1; \Gamma_2 \xRightarrow{C} \Delta_1, A; \Delta_2 \quad \Gamma_1, A; \Gamma_2 \xRightarrow{D} \Delta_1; \Delta_2}{\Gamma_1; \Gamma_2 \xRightarrow{C \vee D} \Delta_1; \Delta_2}$$

In the latter case, define

$$\frac{\Gamma_1; \Gamma_2 \xRightarrow{E} \Delta_1, A, \Delta_2 \quad \Gamma_1; \Gamma_2, A \xRightarrow{F} \Delta_1; \Delta_2}{\Gamma_1; \Gamma_2 \xRightarrow{E \wedge F} \Delta_1; \Delta_2}$$

## Theorem

Let  $\pi$  be a proof of  $A; \Rightarrow; B$  in  $\mathbf{LK}^{at}$ .  $\mathcal{M}(\pi)$  outputs an interpolant of  $A \rightarrow B$ .

## Example

$$\frac{\frac{p; \overset{p}{\Rightarrow}; p}{p \wedge q; \overset{p}{\Rightarrow}; p}}{p \wedge q; \overset{p}{\Rightarrow}; p \vee q} \qquad \frac{\frac{p; \overset{p}{\Rightarrow}; p}{p \wedge q; \overset{p}{\Rightarrow}; p} \quad \frac{; p \overset{\top}{\Rightarrow}; p}{; p \overset{\top}{\Rightarrow}; p \vee q}}{p \wedge q; \overset{p \wedge \top}{\Rightarrow}; p \vee q} \text{ cut}$$

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A formula is in *negation normal form* (NNF) when the negation is only allowed on atoms and the other connectives in the formula are  $\wedge$  and  $\vee$ .

## Observation

The interpolants constructed via the Maehara algorithm are in NNF.

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# Simple incompleteness results

## Definition

Interpolation algorithm  $\mathcal{I}$  is *syntactically complete* if for any valid  $A \rightarrow B$  and any interpolant  $C$  of  $A \rightarrow B$  there is a proof  $\pi$  s.t.  $C = \mathcal{I}(\pi)$ .

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## Observation

$\mathcal{M}$  is syntactically incomplete.

## Proof.

$\neg\neg p$  is an interpolant of  $p \rightarrow p$  and not in NNF. So there is no  $\pi$  s.t.  $\mathcal{M}(\pi) = \neg\neg p$ . □

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## Definition

Interpolation algorithm  $\mathcal{I}$  is (*semantically*) *complete* if for any valid  $A \rightarrow B$  and any interpolant  $C$  of  $A \rightarrow B$  there is a proof  $\pi$  s.t.  $C$  is **logically equivalent** to  $\mathcal{I}(\pi)$ , denoted by  $C \equiv \mathcal{I}(\pi)$ .

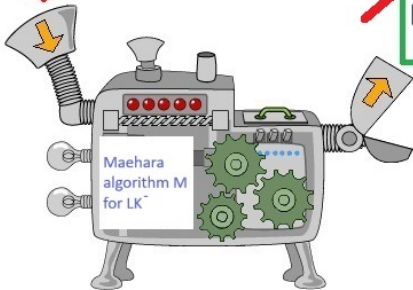
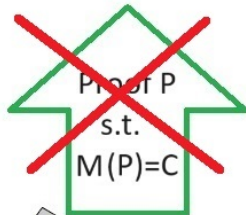
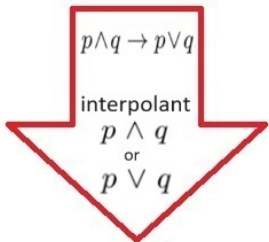
# Incompleteness result for $\mathbf{LK}^-$

The implication  $p \wedge q \rightarrow p \vee q$  has the four interpolants  $p, q, p \wedge q, p \vee q$ .

## Proposition

Maehara interpolation in  $\mathbf{LK}^-$  (i.e.,  $\mathbf{LK}$  without cut) is not complete.

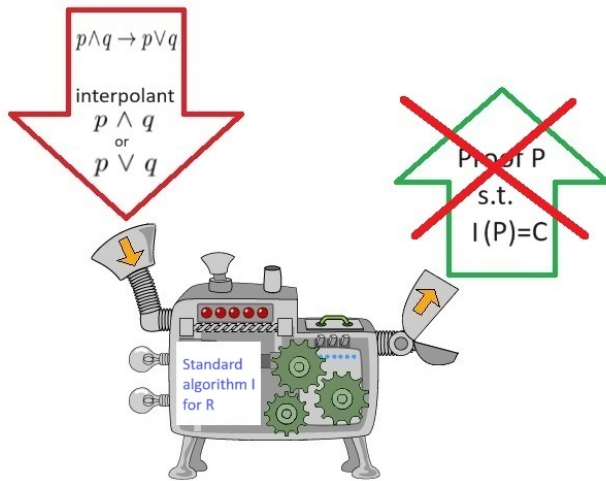




# Incompleteness result for $R$

## Proposition

Standard interpolation in propositional resolution is not complete.



## Question

Are the standard interpolation algorithms in **resolution with weakening** and in algebraic proof systems, such as **cutting planes** complete?

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# Completeness of Maehara algorithm for $\mathbf{LK}^{at}$

Moving from  $\mathbf{LK}^-$  to the slightly stronger  $\mathbf{LK}^{at}$  we get a full completeness result. Let us first prove the completeness for  $\mathbf{LK}^{lit}$ .

## Theorem

*Maehara interpolation in  $\mathbf{LK}^{lit}$  is complete.*

## Proof.

Let  $\mathcal{C} = \{C_1, \dots, C_n\}$  be an interpolant of an implication  $A \rightarrow B$ , where  $C_i = \{l_{i,1}, \dots, l_{i,k_i}\}$ , for  $i = 1, \dots, n$ .

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- 1 Constructing proofs  $\pi_i : A; \Rightarrow ; \ell_{i,1}, \dots, \ell_{i,k_i}$  s.t.  $\mathcal{M}(\pi_i) \equiv C_i$ .
- 2 Constructing proofs  $\sigma_{\vec{j}} : ; \ell_{1,j_1}, \dots, \ell_{n,j_n} \Rightarrow ; B$ , for  $\vec{j} = (j_1, \dots, j_n) \in \{1, \dots, k_1\} \times \{1, \dots, k_n\}$ .

## Proof cont.

Proof.

**Step 1.** As  $\mathcal{C}$  is an interpolant of  $A \rightarrow B$ , we have  $\mathbf{LK} \vdash A \Rightarrow \bigwedge_{i=1}^n C_i$ .  
Thus,  $\mathbf{LK} \vdash A \Rightarrow C_j$  and  $\mathbf{LK} \vdash A \Rightarrow l_{i_1}, \dots, l_{i_{k_i}}$ .

# Proof cont.

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# Proof cont.

## Proof.

**Step 1.** As  $\mathcal{C}$  is an interpolant of  $A \rightarrow B$ , we have  $\mathbf{LK} \vdash A \Rightarrow \bigwedge_{i=1}^n C_i$ . Thus,  $\mathbf{LK} \vdash A \Rightarrow C_i$  and  $\mathbf{LK} \vdash A \Rightarrow l_{i1}, \dots, l_{ik_i}$ . Let  $\alpha_i$  be a cut-free proof of  $A; \Rightarrow l_{i1}, \dots, l_{ik_i}$ . Easy:  $\mathcal{M}(\alpha_i) \equiv \perp$ . Define  $\pi_i$  as:

$$\begin{array}{c}
 \alpha_i \\
 \frac{A; \xRightarrow{\perp} l_{i1}, l_{i2}, \dots, l_{ik_i}}{A; \Rightarrow l_{i1}, l_{i2}, \dots, l_{ik_i}; l_{i1}} \quad \frac{l_{i1}; \xRightarrow{l_{i1}}; l_{i1}}{A, l_{i1}; \Rightarrow l_{i2}, \dots, l_{ik_i}; l_{i1}} \text{ (w)} \\
 \hline
 A; \xRightarrow{\perp \vee l_{i1}} l_{i2}, \dots, l_{ik_i}; l_{i1} \quad \text{cut} \\
 \vdots \\
 \frac{A; \Rightarrow l_{ik_i}; l_{i1}, \dots, l_{ik_i-1}}{A; \Rightarrow l_{ik_i}; l_{i1}, \dots, l_{ik_i-1}, l_{ik_i}} \quad \frac{l_{ik_i}; \xRightarrow{l_{ik_i}}; l_{ik_i}}{A, l_{ik_i}; \Rightarrow; l_{i1}, \dots, l_{ik_i}} \text{ (w)} \\
 \hline
 A; \xRightarrow{\perp \vee l_{i1} \vee \dots \vee l_{ik_i}}; l_{i1}, \dots, l_{ik_i} \quad \text{cut}
 \end{array}$$

We get  $\mathcal{M}(\pi_i) \equiv C_i$ .

Proof.

**Step 2.** As  $\mathcal{C}$  is an interpolant,  $\mathbf{LK} \vdash \mathcal{C} \Rightarrow B$ . Thus

$\mathbf{LK} \vdash \ell_{1,j_1}, \dots, \ell_{n,j_n} \Rightarrow B$ , for  $\vec{j} = (j_1, \dots, j_n) \in \{1, \dots, k_1\} \times \{1, \dots, k_n\}$ .

Take  $\sigma_{\vec{j}}$  as a cut-free proof of  $;\ell_{1,j_1}, \dots, \ell_{n,j_n} \Rightarrow; B$ . Clearly,  $\mathcal{M}(\sigma_{\vec{j}}) \equiv \top$ .

**Claim:** using cuts, weakening, and contraction on the proofs  $\pi_i$  and  $\sigma_{\vec{j}}$  we get an  $\mathbf{LK}^{\text{lit}}$  proof  $\pi$  for  $A; \Rightarrow; B$  where the cut formula is on the right-hand side of the semicolon everywhere. Hence, the interpolant of the conclusion of each cut rule will be the conjunction of the interpolants of the premises. Thus we get  $\mathcal{M}(\pi) \equiv \bigwedge_{i=1}^n C_i \wedge \top \cdots \wedge \top$ .  $\square$

# Completeness for $\mathbf{LK}^{\text{at}}$

$\mathcal{M}$  is just as complete in  $\mathbf{LK}^{\text{at}}$  as it is in  $\mathbf{LK}^{\text{lit}}$ . Function CNF maps formulas in NNF to clause sets:  $\text{CNF}(\top) = \emptyset$ ,  $\text{CNF}(\perp) = \{\emptyset\}$ ,  $\text{CNF}(\ell) = \{\ell\}$ ,  $\text{CNF}(A \wedge B) = \text{CNF}(A) \cup \text{CNF}(B)$ ,  $\text{CNF}(A \vee B) = \text{CNF}(A) \times \text{CNF}(B)$ , where  $\ell$  is a literal,  $A$  and  $B$  are formulas, and define  $\mathcal{C} \times \mathcal{D} := \{C \cup D \mid C \in \mathcal{C} \text{ and } D \in \mathcal{D}\}$ .

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## Lemma

*If  $\pi$  is an  $\mathbf{LK}^{\text{lit}}$  proof of  $\Gamma_1; \Gamma_2 \Rightarrow \Delta_1; \Delta_2$  then there is an  $\mathbf{LK}^{\text{at}}$  proof  $\pi'$  of  $\Gamma_1; \Gamma_2 \Rightarrow \Delta_1; \Delta_2$  with  $\text{CNF}(\mathcal{M}(\pi')) = \text{CNF}(\mathcal{M}(\pi))$ .*

## Proof.

By a version of inversion lemma for negation that preserves the interpolant.  $\square$

# Completeness for $\mathbf{LK}^{\text{at}}$

$\mathcal{M}$  is just as complete in  $\mathbf{LK}^{\text{at}}$  as it is in  $\mathbf{LK}^{\text{lit}}$ . Function CNF maps formulas in NNF to clause sets:  $\text{CNF}(\top) = \emptyset$ ,  $\text{CNF}(\perp) = \{\emptyset\}$ ,  $\text{CNF}(\ell) = \{\ell\}$ ,  $\text{CNF}(A \wedge B) = \text{CNF}(A) \cup \text{CNF}(B)$ ,  $\text{CNF}(A \vee B) = \text{CNF}(A) \times \text{CNF}(B)$ , where  $\ell$  is a literal,  $A$  and  $B$  are formulas, and define  $\mathcal{C} \times \mathcal{D} := \{C \cup D \mid C \in \mathcal{C} \text{ and } D \in \mathcal{D}\}$ .

## Lemma

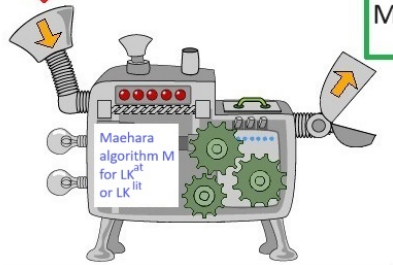
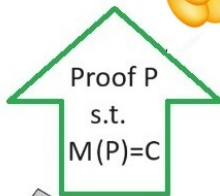
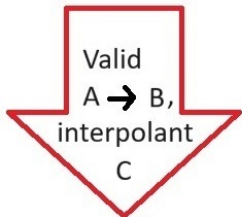
If  $\pi$  is an  $\mathbf{LK}^{\text{lit}}$  proof of  $\Gamma_1; \Gamma_2 \Rightarrow \Delta_1; \Delta_2$  then there is an  $\mathbf{LK}^{\text{at}}$  proof  $\pi'$  of  $\Gamma_1; \Gamma_2 \Rightarrow \Delta_1; \Delta_2$  with  $\text{CNF}(\mathcal{M}(\pi')) = \text{CNF}(\mathcal{M}(\pi))$ .

## Proof.

By a version of inversion lemma for negation that preserves the interpolant.  $\square$

## Corollary

Maehara interpolation in  $\mathbf{LK}^{\text{at}}$  is complete.





# Outline

- 1 Motivation
- 2 Incompleteness results
- 3 Completeness of Maehara algorithm for  $LK^{at}$
- 4 Completeness up to pruning and subsumption



Although Maehara interpolation in  $\mathbf{LK}^-$  is incomplete, it is still possible to obtain positive results for  $\mathbf{LK}^-$ : if we restrict our attention to *pruned interpolants*, then Maehara interpolation is complete up to subsumption.

# Subsumption

## Definition

A clause set  $\mathcal{A}$  *subsumes* a clause set  $\mathcal{B}$ , in symbols  $\mathcal{A} \leq_{\text{ss}} \mathcal{B}$ , if for all  $B \in \mathcal{B}$  there is an  $A \in \mathcal{A}$  s.t.  $A \subseteq B$ .

For instance,  $\{\{p\}\}$  subsumes  $\{\{p, q\}, \{p\}\}$ .

Subsumption is one of the most useful and one of the most thoroughly studied mechanisms for the detection and elimination of redundancy in automated deduction. Note that, if  $\mathcal{A} \leq_{\text{ss}} \mathcal{B}$  then  $\mathcal{A} \models \mathcal{B}$ . In this sense, subsumption is a restricted form of implication.

# Pruned interpolant

## Definition

A clause set  $\mathcal{A}$  is called *pruned* if no atom occurs both positively and negatively in  $\mathcal{A}$  and  $\mathcal{A}$  does not contain the literal  $\top$ .

For instance, none of the following clause sets are pruned:

$$\{\{p\}, \{r, \neg p\}\} \quad \{\{\top, p\}\} \quad \{\{p, \neg p\}, \{r\}\}$$

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## Definition

A pruned clause set  $\mathcal{C}$  is called *pruned interpolant* of a formula  $A \rightarrow B$  if it is an interpolant of  $A \rightarrow B$  and there are no  $C' \subset C \in \mathcal{C}$  with  $A \models C'$ .

So a pruned interpolant, in addition to being a pruned clause set, must not contain redundant literals in the sense of the above definition.

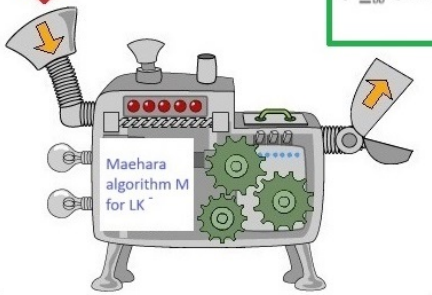
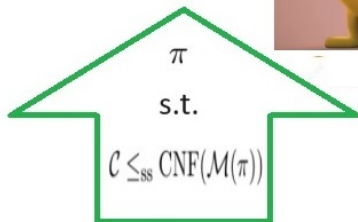
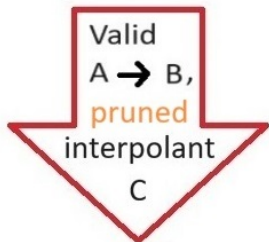
## Theorem

Let  $\mathcal{C}$  be a pruned interpolant of an implication  $A \rightarrow B$ . Then there is an  $\mathbf{LK}^-$  proof  $\pi$  of  $A; \Rightarrow ; B$  with  $\mathcal{C} \leq_{\text{ss}} \text{CNF}(\mathcal{M}(\pi))$ .

## Proof.

The proof strategy consists of carrying out a cut elimination argument on a carefully chosen class of proofs. This class of proofs, called “tame” proofs, is a new invariant for cut-elimination. This class on the one hand is large enough to permit an embedding of all pruned interpolants, but on the other hand small enough to exhibit a very nice behavior during cut-elimination: the interpolant of the reduced proof is subsumed by the interpolant of the original proof. □

Although interpolation in  $\mathbf{LK}^-$  is not complete, we still recover a desired interpolant  $I$  in a restricted sense: after transforming  $I$  into a pruned interpolant  $\mathcal{C}$  we obtain a proof whose interpolant is subsumed by  $\mathcal{C}$ .



## Example

The formula  $p \wedge q \rightarrow p \vee q$  has the four interpolants  $p \wedge q, p, q, p \vee q$ . We know that the only interpolants obtainable from  $\mathbf{LK}^-$  proofs are  $p$  and  $q$ . The clause set  $\{\{p, q\}\}$ , representing the formula  $p \vee q$ , is not a pruned interpolant. The clause set  $\{\{p\}, \{q\}\}$ , representing the formula  $p \wedge q$ , subsumes both  $\{\{p\}\}$  and  $\{\{q\}\}$ .

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## Question

- Is standard interpolation in resolution complete up to subsumption for pruned interpolants?
- Can we extend these results to the calculus  $\mathbf{LJ}$  for the intuitionistic logic? How about other super intuitionistic or substructural logics?



# Conclusion

Initiated the study of completeness properties of interpolation algorithms:

- **Incompleteness** of the standard algorithms for:
  - ▶ Resolution and  $\mathbf{LK}^-$ .
  - ▶ Cut-free sequent calculus for propositional modal logics  $\mathbf{K}$ ,  $\mathbf{KD}$ ,  $\mathbf{KT}$ ,  $\mathbf{K4}$ ,  $\mathbf{KD4}$ ,  $\mathbf{S4}$ .
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Completeness properties of interpolation algorithms  $\xrightarrow{\text{corresponds to}}$

Completeness properties of Beth's definability theorem

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Thank you for your attention.